

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John D. Pruitt et al.
Title: SOLID DOSAGE FORMS COMPRISING PULLULAN
Appl. No.: 10/705,227
Filing Date: 11/12/2003
Examiner: Blessing M. Fubara
Art Unit: 1618
Confirmation 3550
Number:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing of a first Office action after the filing of a Request for Continued Examination under §1.114.

RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding Japanese application. A copy of the Notice of Rejections is attached setting forth the portion of each document considered relevant by the examiner. English language counterparts, and/or English translations have been provided for the non-English language documents. Please note that document nos. 2, 3 and 6 on page 4 of the Notice are not listed on the PTO/SB/08. These references and/or their English language equivalents were already cited in the present application, i.e., Document No. 2 – JP 2002-537322 (WO 00/50013), Document No. 3 – JP 5-306350 (5,411,945), and Document No. 6 – WO 01/70194.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741.

Respectfully submitted,

Date: May 21, 2010

By



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